

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q61020

Tatsuki KOUWA, et al.

Appln. No.: 09/688,350

Confirmation No.: 9796 Group Art Unit: 2834

Filed: October 16, 2000 Examiner: J. Gonzalez

For: VOLTAGE CONTROL APPARATUS FOR VEHICLE GENERATOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) **Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114**, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURÉ TRADEMÉS STATEMENT BY APPLICANT

of

(use as many sheets as necessary)

(use us	many	JILCUIS	43	necessus, j,

Complete if Known				
Application Number	09/688,350			
Confirmation Number	9796			
Filing Date	October 16, 2000			
First Named Inventor	Tatsuki KOUWA			
Art Unit	2834			
Examiner Name	J. Gonzalez			
Attorney Docket Number	Q61020			

U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.	<u></u>	Document l	Number	Publication Date	A will send of Cited Degument
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
		US			<u> </u>
		US			
		US		<u> </u>	

			F	OREIGN PA	TENT DOCUM	ENTS	
Examiner Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Translation ⁶	
Examiner Initials*	No.1	Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	
		JP	7-298515	Α	Nov. 10, 1995	Mitsubishi Elec. Corp.	Abstract
	-						
·							
					<u> </u>		

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
Initials*	140.	Korean Office Action dated April 3, 2003	Yes
			+
			

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/688,350

Our Ref.: Q61020

Art Unit: 2834

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, along with an English

translation thereof and an English Abstract of the reference.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Terrance J. Wikberg

Registration No. 47,177

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373 PATENT TRADEMARK OFFICE

Date: June 4, 2003